

TO WHOMSOEVER IT MAY CONCERN

If you wish to obtain employer references of any current or previous employees of Lightman Investment Management Limited, for complying with the FCA's Senior Managers and Certification Regime (SMCR) please write to us at:

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Phone: +44 (0)20 3950 1980

Email: [compliance@lightmanfunds.com](mailto:compliance@lightmanfunds.com)

In your request, please include a summary of reasons for the regulatory reference. Please note that we will respond to such requests using the FCA guidance available under SYSC 22 Annex 1R, as early as possible and within the statutory timelines.

Yours faithfully,

Sumit Mittal, COO

01 Nov 2023

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