

Date: 09/12/2019

TO WHOMSOEVER IT MAY CONCERN

If you wish to obtain employer references of any current or previous employees of Lightman Investment Management Limited, for complying with the FCA's Senior Managers and Certification Regime (SMCR) please write to us at:

The Compliance Officer,
Lightman Investment Management Limited
2 Eastbourne Terrace
Paddington, London
W2 6LG
Phone: 020 3950 1980
Email: compliance@lightmanfunds.com

In your request, please include a summary of reasons for the regulatory reference. Please note that we will respond to such requests using the FCA guidance available under SYSC 22 Annex 1R, as early as possible and within the statutory timelines.

Yours Sincerely

Sumit Mittal, COO

09/12/2019

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